

Rosenwald Entities — Complete Enumeration

ROSENWALD-FAMILY ENTITIES — MASTER ENUMERATION

Compiled: 2026-05-23 **Principal:** Abraham Rosenwald, *pro se* defendant, *Rosenwald v. Rosenwald*, CT Sup. Ct. FST-FA26-6078292-S **Plaintiff:** James Benno Rosenwald IV (“Jamie” / “JBR IV”) **Purpose:** Master associations / donor-influence document; comprehensive enumeration of historic, mid-20th-century, and modern Rosenwald-family entities for verification, conflicts, and donor-influence analysis. **Sourcing posture:** Public-record verifiable only. Confidence tags applied. Anything unverified is marked.

CRITICAL THRESHOLD FINDING — TWO DISTINCT ROSENWALD FAMILIES

The single most important framing finding of this enumeration:

The plaintiff (JBR IV) is NOT a descendant of Julius Rosenwald of Sears, Roebuck. The two lineages are genealogically distinct and originate in different German regions:

Branch	Patriarch	Origin	Notability
“Sears” Rosenwalds (Section 1-2)	Samuel Rosenwald → Julius Rosenwald	Bünde, Westphalia → Springfield, Illinois	Sears Roebuck president; Rosenwald Fund; Rosenwald Schools
“Bamberg” / JBR Rosenwalds (Section 3)	Edward Rosenwald → Bernard “Benno” Rosenwald	Bayern (Bamberg, Bavaria) → New York	Dalton Investments / Rosenwald Capital Management; the family at the center of the present litigation

This is **prior-work-verified [HIGH CONFIDENCE]** via genealogical sources (WikiTree Rosenwald-28, Geni.com, famouskin.com, Prabook), and was confirmed in /Users/icloudabe/law_firm_associations/weinman_trust_20260521/04_FAMILY_TREE.md (Hypothesis G, 2026-05-21).

The Sears/Julius branch (Section 1-2) is enumerated here for completeness and because the surname coincidence creates donor-influence-research traps that must be ruled out one-by-

one. In practical donor-influence terms, the present litigation has zero direct genealogical overlap with the Julius Rosenwald philanthropic dynasty.

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1. JULIUS ROSENWALD (1862–1932) – SEARS, ROEBUCK ERA ENTITIES

1.1 Julius Rosenwald – individual

Full legal name: Julius Rosenwald **Dates:** August 12, 1862 (Springfield, IL) – January 6, 1932 (Highland Park, IL) **Roles:** - Sears, Roebuck & Co. – Vice President 1896–1908; President 1908–1924; Chairman 1924–1932 - Chairman, University of Chicago Board of Trustees - Patron of Booker T. Washington and Tuskegee Institute **Parents:** Samuel Rosenwald (Bünde, Westphalia → Springfield, IL) and Augusta Hammerslough **Spouse:** Augusta Nusbaum **Children:** Lessing J. (1891), Adele (1892), Edith (1895), Marion (1902), William (1903) **Source:** [Wikipedia – Julius Rosenwald](#); [Britannica](#)

1.2 The Rosenwald Fund (1917–1948)

Full legal name: The Julius Rosenwald Fund (also “The Rosenwald Fund”) **Founded:** October 30, 1917 **Dissolved:** 1948 (per Julius’s “give while you live” mandate – wind-up within 25 years of his death) **State:** Illinois (Cook County) **EIN:** [UNVERIFIED – predates current EIN-as-public-record convention; no EIN surfaced] **Status:** Dissolved 1948 **Total grants (life of fund):** ~\$70 million (~\$1 billion 2025 dollars) **Officers / trustees (selected, by era):** - 1917–1928: Julius Rosenwald personally controlled - 1928–1948: Edwin Rogers Embree (President); Will W. Alexander; Charles S. Johnson; **Eleanor Roosevelt (trustee 1940–1948)** **Principal programs:** - Rosenwald Schools (1912–1932)

— 5,357 schools, shops, and teacher homes for African-American children in the segregated South - Rosenwald Fellowships (1928–1948) — grants to ~600 African-American writers, educators, artists, scholars (Marian Anderson, James Baldwin, Ralph Bunche, Ralph Ellison, W.E.B. Du Bois, Langston Hughes, Zora Neale Hurston, Jacob Lawrence, John Hope Franklin, etc.) and southern Whites in race-relations work **Source:** [Wikipedia — Rosenwald Fund](#); [Duke Center for Strategic Philanthropy](#); [Amistad Research Center finding aid](#)

1.3 Rosenwald Schools (1912–1932)

Full legal name: Schools constructed under the Rosenwald Building Program (administered first directly by Julius Rosenwald, then by The Rosenwald Fund 1917–1932) **Number constructed:** 5,357 schools, shops, and teacher homes across 15 Southern states **Status:** Building program ended 1932; ~10–12% of structures survive today **Funding model:** Challenge grants — Rosenwald contribution matched by local African-American community fundraising and (where available) state/county funds **Key partner:** Tuskegee Institute (Booker T. Washington, then Robert Russa Moton) **Source:** [National Park Service — Rosenwald Schools](#); [National Trust for Historic Preservation](#)

1.4 Rosenwald-funded YMCAs (1911–1933)

Program: Julius Rosenwald YMCA Challenge Grant Program **Total Rosenwald contribution:** \$600,000+ (1911–1933) **Number constructed:** 25 YMCAs/YWCAs for African-Americans in 24 cities **1911 round (12 cities):** Washington DC, Chicago, Indianapolis, Philadelphia, Kansas City, Cincinnati, Brooklyn, Baltimore, St. Louis, Columbus, New York (Harlem), Atlanta **1920 round (12 additional):** Pittsburgh, Denver, Detroit, Los Angeles, Buffalo, Dayton, Montclair, Toledo, Dallas, Youngstown, Orange, Harrisburg **Match formula:** \$25,000 Rosenwald : \$75,000 community match **Notable surviving facility:** Wabash Avenue YMCA, Chicago **Source:** [Pennsylvania Historic Preservation](#); [U. Minn. Archives & Special Collections](#)

1.5 Museum of Science and Industry, Chicago

Full legal name: Museum of Science and Industry (organized in honor of Julius Rosenwald's founding patronage) **Founded:** 1929 **Julius Rosenwald role:** Founding patron and principal donor; conceived after his 1911 visit to Munich's Deutsches Museum **Status:** Active 501(c)(3); current EIN [verify on ProPublica] **Source:** [Wikipedia — Julius Rosenwald](#)

1.6 Tuskegee Normal and Industrial Institute (now Tuskegee University)

Julius Rosenwald role: Trustee (appointed 1912 by Booker T. Washington); major donor through Rosenwald Fund **Relationship:** Originating partner for the Rosenwald Schools program **Source:** [BlackPast.org — Rosenwald Fund Schools](https://blackpast.org/rose/rosenwald-fund-schools/)

1.7 University of Chicago

Julius Rosenwald role: Trustee (1912–1932); Chairman of the Board **Donations:** Funded Rosenwald Hall (geology and geography building, 1915); endowed faculty chairs **Holding:** The Julius Rosenwald Papers 1905–1963 (University of Chicago Library Special Collections; finding aid [ICU.SPCL.ROSENWALDJ](https://www.library.uchicago.edu/spcl/roswald/))

1.8 Jewish Theological Seminary of America

Julius Rosenwald role: Trustee; major donor **Note:** Julius was a Reform Jew but supported Conservative-movement institutions ecumenically

1.9 Michigan Boulevard Garden Apartments (Chicago)

Full legal name: Michigan Boulevard Garden Apartments (also called “Rosenwald Apartments” / “Rosenwald Court” colloquially) **Built:** 1929 (Julius Rosenwald personal commission) **Location:** 47th Street and Michigan Avenue, Bronzeville, Chicago **Purpose:** Sound housing for African-Americans during severe Chicago segregation-era overcrowding **Status:** Renovated 2015 (\$132M rehabilitation); listed on National Register of Historic Places **Source:** [Wikipedia — Julius Rosenwald](https://en.wikipedia.org/wiki/Julius_Rosenwald)

1.10 Sears, Roebuck & Co.

Note: Although Julius Rosenwald and successive family members held controlling interests, Sears, Roebuck & Co. is **not properly a “Rosenwald family entity”** — it was a publicly held corporation. Listed here only for cross-reference. Now defunct (Sears Holdings Chapter 11 — 2018).

2. MID-20TH-CENTURY DESCENDANTS OF JULIUS

The five children of Julius Rosenwald and their organizational vehicles.

2.1 William Rosenwald (1903–1996) — second son

Dates: August 19, 1903 (Wilmette, IL) – October 31, 1996 **Spouses:** Beatrice (first); Mary Kurtz (second, m. 1938) **Children (Mary):** Nina Rosenwald, Alice Rosenwald, Elizabeth (“Beth”) Rosenwald → Elizabeth R. Varet (Managing Director, American Securities) **Source:** [Wikipedia – William Rosenwald](#)

2.1.1 American Securities Corporation / American Securities LLC

- **Founded:** 1947 by William Rosenwald
- **State:** Delaware
- **Status:** Active (now American Securities LLC; HQ NYC)
- **Historical investments include:** AMETEK Inc.; Western Union International
- **Current Rosenwald-family officers:** Elizabeth R. Varet (Managing Director); David Steinmann (Managing Director)
- **Source:** [Wikipedia](#); [LittleSis profile](#)

2.1.2 United Service for New Americans (USNA)

- **Founded:** 1946 by William Rosenwald (merger of National Refugee Service + Service to Foreign Born)
- **Purpose:** Resettlement of Jewish refugees from Europe post-Holocaust
- **Status:** Merged 1954 into United HIAS Service (now HIAS)

2.1.3 United Jewish Appeal (UJA)

- **Co-founded:** 1939 — William Rosenwald was one of three national chairmen 1942–1946
- **Status:** Merged into the United Jewish Communities (now Jewish Federations of North America) in 1999

2.1.4 William Rosenwald Family Fund Inc.

- **EIN:** 13-1635289
- **Status:** **DISSOLVED MARCH 2012** — assets distributed to (a) Alice Rosenwald Family Fund, (b) Middle Road Foundation (formerly Anchorage Charitable Fund), (c) Abstraction Fund
- **State:** New York
- **Source:** [GuideStar profile 13-1635289](#); [Islamophobia Network funder profile](#)

2.1.5 Middle Road Foundation (formerly Anchorage Charitable Fund)

- **Status:** Active 501(c)(3); successor vehicle to William Rosenwald Family Fund
- **Trustees / officers:** Elizabeth R. Varet (Chair); David Steinmann
- **Source:** [LittleSis](#)

2.1.6 Abstraction Fund

- **Status:** Active 501(c)(3); successor vehicle to William Rosenwald Family Fund
- **President/Treasurer (2011–present):** Nina Rosenwald
- **Source:** [InfluenceWatch – Abstraction Fund](#)

2.1.7 Alice Rosenwald Family Fund

- **Status:** Active 501(c)(3); successor vehicle to William Rosenwald Family Fund
- **Principal:** Alice Rosenwald
- **Source:** [Islamophobia Network funder profile](#)

2.1.8 Gatestone Institute (W. Rosenwald grand-daughter venture)

- **Founder/President:** Nina Rosenwald (William's daughter; granddaughter of Julius)
- **Founded:** 2008 (originally as Stonegate Institute); rebranded 2011
- **State:** New York
- **Status:** Active 501(c)(3) think tank (neoconservative; anti-Muslim policy advocacy)
- **Source:** [Wikipedia – Nina Rosenwald](#); [The Intercept](#)

2.2 Lessing Julius Rosenwald (1891–1979) – eldest son

Dates: February 10, 1891 – June 24, 1979 **Roles:** Sears, Roebuck & Co. Chairman 1932–1939; print and book collector; philanthropist **Residence:** Jenkintown, Pennsylvania (Alverthorpe estate) **Source:** [Wikipedia – Lessing J. Rosenwald](#)

2.2.1 The Lessing J. Rosenwald Collection (National Gallery of Art)

- **Donated:** 1943 (deed of gift to National Gallery of Art and Library of Congress)
- **Volume at death (1979):** 28,000+ prints and drawings; 2,600+ rare books
- **Current location:** Washington DC (National Gallery of Art for prints/drawings; Library of Congress for books)

- **Source:** [National Gallery of Art Archives – Rosenwald finding aid](#); [Library of Congress finding aid](#)

2.2.2 Alverthorpe Gallery (Jenkintown, PA)

- **Built:** 1939 (fireproof wing of Rosenwald’s Jenkintown estate)
- **Status:** Closed 1980 upon Lessing’s death (collection transferred to DC); building deeded to Abington Township; now houses Abington Art Center
- **Source:** [Abington Art Center – Our History](#)

2.2.3 American Council for Judaism

- **Lessing role:** Founding president (1942–1955)
- **Position:** Anti-Zionist Reform-Jewish organization

2.3 Adele Rosenwald Levy (1892–1960) – eldest daughter

Dates: July 19, 1892 – December 1960 **Spouse:** Dr. David Levy (psychiatrist) **Source:** [Jewish Women’s Archive – Adele Levy](#); [JTA obituary](#)

2.3.1 United Jewish Appeal Women’s Division

- **First chairman:** 1946 – Adele Rosenwald Levy

2.3.2 Citizens Committee on Children of New York

- **Adele role:** Founder and longtime chair

2.3.3 Adele R. Levy Fund

- **Status:** [UNVERIFIED – no current EIN located in this research]
- **Note:** Adele was active in 35+ charitable organizations; a discrete “Adele R. Levy Fund” was not located on ProPublica Nonprofit Explorer in this scan. Recommend follow-up via IRS TEOS or NY Charities Bureau.

2.4 Edith Rosenwald Stern (1895–1980)

Dates: July 7, 1895 – September 11, 1980 **Spouse:** Edgar Bloom Stern (cotton merchant, New Orleans) **Children:** Edgar B. Stern Jr., Audrey Stern Hess, Philip M. Stern **Source:** [Wikipedia – Edith Rosenwald Stern](#); [Jewish Women’s Archive](#)

2.4.1 Edgar Stern Family Fund / Stern Family Fund

- **Founded:** Late 1930s by Edgar & Edith Stern
- **Notable grants:** Voter Education Project; Mississippi Freedom Summer ('64); Southern Poverty Law Center seed funding
- **Status:** Active 501(c)(3) [verify current EIN on ProPublica]
- **Note:** Multiple “Stern Family Fund/Foundation” entities exist; ProPublica search returns dozens of similarly named foundations (see Section 6 — surname-collision RULED OUT entries). The historically prominent civil-rights funding “Stern Family Fund” tied to the Sterns/Rosenwalds was based in Washington, DC, and its trustees included Philip M. Stern.

2.4.2 Longue Vue House and Gardens

- **Location:** 7 Bamboo Road, New Orleans, LA 70124
- **Founded:** 1921 (land purchase); current main house built 1939–1942 by William Platt
- **Status:** Active 501(c)(3) historic house museum since 1968
- **EIN:** 72-0735988 [VERIFY]
- **Source:** [Longue Vue site](#); [Wikipedia](#)

2.4.3 Dillard University (HBCU, New Orleans)

- **Edith role:** Board President; major donor; built capital fund via challenge-grant matching with African-American community
- **Status:** Active HBCU; Edith Rosenwald Stern Hall on campus

2.4.4 New Orleans City Charter Reform (1952)

- **Edith role:** Led the citizen reform campaign that produced New Orleans' 1952 home-rule charter

2.5 Marion Rosenwald Ascoli (1902–1990) — youngest daughter

Dates: 1902 – 1990 (sources differ on birth year; one Wikipedia citation lists 1898, but most sources align to ~1902 with death 1990 at age 88) **Spouses:** Alfred K. Stern (briefly, divorced); Max Ascoli (m. 1940) **Source:** [Wikipedia — Max Ascoli](#); [University of Chicago — Ascoli Papers](#)

2.5.1 The Reporter Magazine

- **Founded:** 1949 by Max & Marion Ascoli
- **Status:** Ceased publication 1968
- **Note:** Liberal weekly; significant Cold War-era political journal

2.5.2 Citizens Committee for Children of New York (CCC)

- **Marion role:** Chairwoman and President

2.5.3 Northside Center for Child Development (Harlem)

- **Marion role:** President

2.5.4 The Marion R. Ascoli Fund / The Max Ascoli Fund / The Ascoli Foundation

- **Status:** Listed as ongoing trusts in University of Chicago Ascoli Papers finding aid
- **EIN:** [UNVERIFIED in this research]
- **Predecessor / connected:** The “New York Fund for Children” (Marion was President)

2.6 Peter Ascoli (b. 1939) — Julius’s grandson

Role: Author of *Julius Rosenwald: The Man Who Built Sears, Roebuck and Advanced the Cause of Black Education in the American South* (Indiana Univ. Press, 2006) — the standard scholarly biography **Relationship:** Son of Marion Rosenwald Ascoli & Max Ascoli **Source:** [University of Chicago — Peter Ascoli Papers 1896-2006](#)

2.7 The William Rosenwald Family Association (post-1932 successor)

- **Founded:** 1932 (Children of Julius Rosenwald formed family association to “supplement Julius’s work”)
 - **Sources:** [JTA archive](#); [EHRI archival record](#)
 - **Status:** Records archived
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3. MODERN LIVING-GENERATION ENTITIES — THE PLAINTIFF’S BRANCH

SCOPE REMINDER: This Section enumerates the Rosenwald family branch that is the plaintiff in *Rosenwald v. Rosenwald*, FST-FA26-6078292-S. **This branch is genealogically distinct from the Julius/Sears branch enumerated in Sections 1 and 2.** The full 86-entity map of the plaintiff’s network is documented at /Users/icloudabe/aria/research/rosenwald_entity_map_20260514.md (prior work, 2026-05-14, 65+ distinct entities identified through SEC EDGAR, IAPD, OpenCorporates, SEDI, state SOS filings).

3.1 The Plaintiff’s Verified Family Tree (Bamberg / “Benno” Branch)

Source (prior work): /Users/icloudabe/law_firm_associations/weinman_trust_20260521/04_FAMILY_TREE.md

Edward Rosenwald (1833–1892, Bamberg, Bavaria)

m. Jeanette Heymann (1842–1883, Bavaria)

|

v

Bernard "Benno" Rosenwald (1867–1925, NY)

m. May Cordelia Cook (1876–1955, San Francisco)

|

v

James Benno Rosenwald [Sr.] (1905–1989, NY → Tobago)

m. Dorothy Theresa Bleyer (1905–1998)

|

+--- James Benno Rosenwald Jr. (b. 1930)

| 1st m. Jean Alice Berkowitz

| 2nd m. Marie Moore ← mother of JBR III

|

| +--- JAMES B. ("JIM") ROSENWALD III (b. Jan 19, 1958)

| | m. Laura D. Parker (1985)

| | +--- JAMES BENNO ROSENWALD IV ("JAMIE" / "JBR IV") – PLAINTIFF, b. ~2000

| | +--- JOCELYN M. ROSENWALD

| |

| | +--- JILL ROSENWALD (Boston ceramic artist)

| | m. Lawrence McRae (1997)

|
+--- Patricia Ann Rosenwald (b. 1933)
1st m. Henry Dwight Sedgwick V → Robert / Holly / KYRA SEDGWICK (actress)
2nd m. Ben Heller (art dealer) → Mike Stern

3.2 Principals — currently-living adults

3.2.1 James B. Rosenwald III (“JBR III”)

- **DOB:** January 19, 1958, NYC
- **Education:** Dalton School (Manhattan); University of Pennsylvania; Stanford GSB
- **Spouse:** Laura D. Parker (m. 1985)
- **Children:** James Benno Rosenwald IV (plaintiff); Jocelyn M. Rosenwald
- **First cousin:** Kyra Sedgwick (actress, via aunt Patricia Rosenwald-Sedgwick-Heller)
- **Primary roles (current):** Co-founder / CIO, Dalton Investments Inc. (1999–present); Chairman/CEO, Rosenwald Capital Management, Inc. (1984–present); Adjunct Professor, NYU Stern; LA Philharmonic Board of Overseers
- **Source:** [Wikipedia — James B. Rosenwald](#); [Dalton Investments founder bio](#)

3.2.2 James Benno Rosenwald IV (“JBR IV” / “Jamie”) — PLAINTIFF

- **DOB:** ~1986
- **Education:** McKinsey & Company alumnus (per LinkedIn)
- **Role in present litigation:** Plaintiff, *Rosenwald v. Rosenwald*, FST-FA26-6078292-S (CT Superior Court, Stamford-Norwalk Judicial District)
- **Statement on the record (relevant to fraud investigation):** Per defendant’s SBA OIG Complaint AR-CMP-20260514-sboig, ¶6, JBR IV stated “the insurance company and RCM received PPP funds.” Defendant’s investigation confirmed RCM did NOT receive PPP funds (categorically ineligible as SEC-RIA); investigation of the “insurance company” statement is ongoing — most plausibly references John A. Neu Insurance Services, Inc.
- **Source:** [LinkedIn — James Benno R.](#); CT Superior Court case docket

3.2.3 Jocelyn M. Rosenwald

- **Role:** Co-founder, Magu Capital (cannabis-focused VC); Director of Retail Acquisitions, Glass House Group / Glass House Brands; Director, Rosenwald Capital Management since 03/2015; Director, Dalton Investments

- **Education:** University of Pennsylvania BA; Hunter College M.Ed.; UCLA Anderson MBA
- **Career:** Teach For America (NYC); founding teacher at KIPP Star Elementary
- **Source:** [Glass House Brands board profile](#); [Crunchbase](#)
- **Notice of Violation served:** /Users/icloudabe/law_firm_associations/AR-NOV-20260511-jcln_Jocelyn_Rosenwald_Notice_of_Violation_Litigation_Hold.md

3.2.4 Laura D. Parker Rosenwald

- **Spouse of JBR III**
- **Role:** EVP, Rosenwald Capital Management Inc. (since 05/2017); Trustee, Rosenwald Family Trust (Delaware, 12/1997)
- **Family:** Daughter of Walter Wellington Parker III ('56 Davidson, d. 2014); sister of Walter W. Parker IV (trustee of JBR IV Trust)
- **Source:** RCM Form ADV at [SEC IAPD CRD 290118](#)

3.2.5 Jill Rosenwald

- **Role:** Director, Rosenwald Capital Management (since 08/2013); ceramic artist (Boston)
- **Spouse:** Lawrence McRae (m. 1997)
- **Source:** RCM Form ADV [CRD 290118](#)

3.3 The Plaintiff-Branch Entity Network (consolidated, by layer)

Source for this Section: /Users/icloudabe/aria/research/rosenwald_entity_map_20260514.md (full 86-entity map with sourcing). Below is the structured summary by functional layer.

3.3.1 Investment-Advisory Layer (SEC-RIA)

#	Entity	State	CRD/CIK	Status	Officers (selected)
1	Dalton Investments LLC (legacy)	NV (CA office)	CRD 109538	Converted to Inc. 02/2024	JBR III; Sarah Alfandari (CEO); Janet Hunpadongrat (CFO)

#	Entity	State	CRD/CIK	Status	Officers (selected)
2	Dalton Investments, Inc. (current)	NV	CRD 308609	Active	JBR III; Alfandari; Hunpadongrat; Combs; Jocelyn Rosenwald; Nishida
3	Dalton Financial LLC	TBD	CRD 167878	Active per IAPD; likely dormant	Unknown
4	Rosenwald Capital Management, Inc. (“RCM”)	DE	CRD 290118	Active; \$218.5M AUM	JBR III; Laura Parker Rosenwald (EVP); Jocelyn Rosenwald; Jill Rosenwald
5	Rosenwald Partners, L.P.	DE	805-4108393611	Active	RCM (GP/ Adviser)

Source: SEC IAPD Form ADV filings; cross-verified via [Wikipedia — James B. Rosenwald](#)

3.3.2 Owner-Vehicle Layer (2024 Reorganization)

#	Entity	State	Owner	Role
6	Rosenwald Family Trust	DE (12/1997)	Trustees: JBR III & Laura Parker Rosenwald	Ultimate owner of RCM
7	Walking Rock Corp.	DE (10/2002)	Gifford Combs	Pre-2024 Dalton ownership vehicle
8	Walking Rock Nevada, Inc.	DE (07/2024)	Gifford Combs (CEO)	Post-2024 Dalton ownership vehicle
9	Persky Financial	DE (02/1998)	Steven David Persky	

#	Entity	State	Owner	Role
	Management, Inc.			Dalton ownership vehicle
10	Iradnafla LLC	DE (05/2020; entered Dalton chain 07/2024)	Sarah B. Alfandari	“Alfandari” spelled backwards; Dalton ownership vehicle
11	JBR IV TR (James B. Rosenwald IV Trust)	(DE inferred)	Trustees include Walter W. Parker IV	Family-trust vehicle relevant to dissolution discovery

3.3.3 Fund Vehicles – Active Private Funds (selected – full 30+ listed in source map)

#	Entity	Jurisdiction	Year	Assets/ Investors	Status
12	Kings Bay Investment Co. Ltd.	Cayman	TBD	\$76.23M GAV; 7 owners; 88% non-US	Active; PwC Cayman auditor
13	JMBO Fund Ltd	Cayman	2005	TBD	JBR III is director; registered at RCM address; “JMBO” = “James Benno” abbreviation
14	NAVF Select LLC (formerly Michael 1925 LLC)	DE	2021	\$66.93M raised; 88 investors	Active
15		Cayman	2023		Active

#	Entity	Jurisdiction	Year	Assets/ Investors	Status
	NAVF Select (Offshore Fund) Ltd.			\$90.66M raised; 62 investors	
16	Dalton Pacific Rim Fund LP	Cayman	2022	TBD; \$1M min	Active
17	KAVF Select (Master) Fund LP	TBD	2025	\$0 as of 2026-02-05	Active (Korea Active Value Fund)
18	KAVF Select (Offshore Feeder) Fund Ltd.	Cayman	2025	\$0	Active
19	KAVF Select (US Feeder) Fund LP	DE	2025	\$0	Active
20	KAVF Select GP LLC	TBD	2025	n/a	GP entity
21	Dalton Asia (Master/ Offshore/US Feeder) Funds	CA / Cayman	TBD	Active hedge complex	Multiple feeder structure
22	Dalton India (Master/ Offshore/US Feeder) Funds	CA / Cayman	TBD	Active	Multiple feeder structure
23	Dalton Greater China (Master/ Offshore/US Feeder) Funds	TBD / Cayman	TBD	Active	Multiple feeder structure
24	Dalton Kizuna (Master/ Offshore/US Feeder) Funds	CA / Cayman	2017	Active (Japan-focused)	Multiple feeder structure
25		CA / Cayman	TBD	Active	

#	Entity	Jurisdiction	Year	Assets/ Investors	Status
	Dalton Japan Long/Short (Offshore Feeder / US Feeder) Funds				Multiple feeder structure
26	Dalton Berlin Real Estate Fund I LLC	CA	TBD	Active	German real estate
27	Dalton Berlin Finance Fund I LLC	CA	TBD	Active	German finance
28	Dalton Distressed Debt Fund LP & Offshore	CA	TBD	Active	Distressed debt
29	Dalton Distressed Credit (US/ Offshore Feeder) Funds	CA	TBD	Active	Distressed credit
30	Dalton Distressed Mortgage Fund LP & Ltd	DE / CA	TBD	Active	Distressed mortgage
31	Dalton Emerging Market Debt Fund Ltd	Cayman	TBD	Active	EM debt
32	Dalton High Yield Opportunities (US/Offshore Feeder) Funds	CA	TBD	Active	High yield
33	Dalton Global Opportunity	Cayman / CA	TBD	Active	Global opportunities

#	Entity	Jurisdiction	Year	Assets/ Investors	Status
	Fund Ltd & US Fund LP				
34	Dalton Taiwan Fund LP	CA	TBD	Active	Taiwan-focused
35	Dalton Coinvestment, L.P.	TBD	TBD	Active	Coinvestment vehicle
36	Dalton Strategic Partnership LLP	UK (England/Wales)	TBD	Active	UK-resident
37	Pacific & General Investments Inc. / LP / Master LP	TBD	TBD	Active	Multi-entity P&G complex
38	Rising Sun Management Ltd.	Cayman	TBD	Active	Investment adviser to NAVF / Nippon Active Value Fund
39	Dalton Investments Trust – multiple Series (B, C, J, K), Sub-Series (C-2, K-2), and Series B/C SBO LLC	CA / NV	2024	Active	Trust series structure
40	Kizuna GP LLC	TBD	TBD	Active	GP
41	China GP LLC	TBD	TBD	Active	GP
42	India GP LLC	TBD	TBD	Active	GP
43	Pacgen GP LLC	TBD	TBD	Active	GP

#	Entity	Jurisdiction	Year	Assets/ Investors	Status
44	Asia GP LLC	TBD	TBD	Active	GP
45	Pacrim GP LLC	NV	TBD	Active	GP of Pacific Rim Fund
46	1937 GP LLC + 1937 LP	TBD	TBD	Active	“1937” likely references Dalton School founding year
47	NAVF Select Special Member LLC	TBD	TBD	Active	Special member entity
48	CrossingBridge Advisors, LLC	TBD	TBD	Independent SEC-RIA but disclosed on Dalton Inc. ADV as related person	Short-duration high-yield specialist

3.3.4 Foreign Operating Subsidiaries (Dalton)

#	Entity	Jurisdiction	Status
49	Dalton Investments (UK) Limited	England & Wales (CH 07542067)	Dissolved 2011-03-29
50	Dalton Investments (Australia) Pty Ltd	Australia	Active
51	Dalton Investments (Hong Kong) Limited	Hong Kong	Active
52	Dalton Korea Co., Ltd.	South Korea	Active
53	Dalton Advisory KK	Japan (FSA)	Active
54	Dalton Investment Advisory Services Pvt. Ltd.	India (SEBI)	Active

3.3.5 Real Estate / Operating Layer

#	Entity	State	Year	Officers	Status
55	Beach Front Properties, LLC	CA	1997	Kyle Kazan + JBR III (co-founders)	Active
56	Beach Front Property Management, Inc.	CA	1999	Kyle Kazan (CEO); Jocelyn Rosenwald (Dir. Acquisitions since 2013)	Active
57	Beach Front VII LLC	CA	TBD	TBD	Active per SEC EDGAR
58	Beach Front VIII LLC	CA	TBD	TBD	Active per SEC EDGAR
59	Rosenwald Holdings LLC	CA (Marina del Rey)	10/2019–09/2020	Joshua Rosenwald (agent)	CANCELLED 09/19/2020
60	Grand River Properties China Ltd.	Cayman (or similar)	2003	JBR III (founder)	Active — China real estate
61	Rovida Holdings Ltd	Cayman/foreign	TBD	TBD	Active per SEC EDGAR
62	Rovida Advisors Inc.	FL	TBD	TBD	Active per SEC EDGAR (legacy from 1992 JBR III + Nick Roditi co-founding of Rosenwald, Roditi & Company)
63	Rovida Investment	Foreign	TBD	TBD	Active per SEC EDGAR

#	Entity	State	Year	Officers	Status
	Management Ltd				

3.3.6 Insurance Layer

#	Entity	State	Status	Connection
64	John A. Neu Insurance Services, Inc.	CA (1988) parent; foreign-reg NV (2011), NY (2003), FL (F12000000537, REVOKED 09/28/2018)	CA status TBD	Janet Hunpadongrat = CFO per FL F12000000537 filing — the only direct insurance-side officer connection to the Rosenwald-Dalton network
65	Arroyo Insurance Services, Inc.	CA	Active	Jon C. Walls (President) operates same Rolling Hills Estates office; “Neu operates as Arroyo in some locations” per public reporting

3.3.7 Public-Company Cannabis Investment

#	Entity	Exchange	Connection
66	Glass House Brands Inc. (GLAS / GLASF)	Cboe Canada / OTCQX	Combined Rosenwald-family voting power 23.4% as of May 2025 per Form 6-K accession 0001104659-25-056540 (2025 Information Circular, “Principal Holders of Voting Securities” table, p. 4) — JBR III 14.1% (17.2% MVS + 3.4% Equity) + Jocelyn 9.3% (11.9% MVS + 0.7% Equity). HISTORICAL ARC: at June 29, 2021 de-SPAC close JBR III alone held 21.35% total voting power (1,247,973 MVS = 31.4% of MVS class + 1,247,973 Equity Shares) per 2021 Listing Statement, SEC accession 0001104659-21-125828, “Principal Shareholders” table at PDF p. 108. Family combined at close estimated ~ 25-28% (Jocelyn May Rosenwald Trust dated 12/18/1997)

#	Entity	Exchange	Connection
			was an April 8, 2021 Investor Rights Agreement Seller; Jocelyn individual stake below the 10% disclosure threshold at close). JBR III's MVS holdings reduced 1,247,973 → 819,406 between 2021 and 2025 (~34% MVS-class reduction); since MVS are non-transferable per the Listing Statement, this is consistent with MVS redemption/restructure rather than open-market sale. Kazan's MVS share concurrently grew from 38.6% → 42.6% of the class. 10% Security Holder since 06/29/2021 per SEDI Insider Profile JROSENW003. Jocelyn = Director (re-elected 06/20/2025 with 99.35% support).
			Sources: SEC 6-K accession 0001104659-21-125828 (2021 Listing Statement, p. 108); SEC 6-K accession 0001104659-25-056540 (2025 Information Circular, p. 4); SEDI Insider Profile JROSENW003; Daily Caller 2025-11-21 ; Glass House Brands board profile ; historical-arc working file at /Users/icloudabe/law_firm_associations/GLASS_HOUSE_ROSENWALD_VOTING_HISTORICAL_ARC_20260523.md

3.3.8 Historical / Dissolved (plaintiff branch)

#	Entity	Years	Notes
67	Rosenwald, Roditi & Company, Ltd.	1992 → renamed Rovidia Asset Management ~1997	Co-founded by JBR III + Nick Roditi for Korean life-company / Asian non-life-insurance investing during Soros-era; precursor to Rovidia complex
68	Korea Non-Life Investment Limited	South Korea	JBR III = Director per Prabook bio
69	Michael 1925 LLC	DE (renamed to NAVF Select LLC 2021)	Year-of-formation-named LLC; renamed when fund went live

3.4 Active Federal Compliance / Litigation Matters Concerning the Network

For full enumeration of compliance matters defendant has filed referencing the plaintiff network, see: - **PPP Fraud Complaint:** /Users/icloudabe/law_firm_associations/AR-CMP-20260514-sboig_SBA_OIG_Hotline_Complaint_Dalton_Rosenwald.md (6 confirmed loans, \$4.24M, all 100% forgiven; SBA OIG hotline submission) - **Jocelyn Rosenwald Notice of Violation / Litigation Hold:** /Users/icloudabe/law_firm_associations/AR-NOV-20260511-jcln_Jocelyn_Rosenwald_Notice_of_Violation_Litigation_Hold.md - **Rosenwald Capital Management Notice of Violation / Litigation Hold:** /Users/icloudabe/law_firm_associations/AR-NOV-20260511-rcm1_Rosenwald_Capital_Management_Notice_of_Violation_Litigation_Hold.md - **Entity-Violations Report:** /Users/icloudabe/law_firm_associations/ROSENWALD_ENTITY_VIOLATIONS_REPORT_20260419.pdf

4. ROSENWALD-NAMED GEOGRAPHIC / INSTITUTIONAL ENTITIES

4.1 Place names

Name	Location	Tied to	Notes
Rosenwald, Mississippi	Hinds County, MS	Julius Rosenwald (school program)	Unincorporated community
Rosenwald Apartments / Court	Bronzeville, Chicago, IL	Julius Rosenwald	Michigan Boulevard Garden Apartments (see §1.9) – sometimes called “Rosenwald Court” colloquially but the formal Washington DC “Rosenwald Court” listed in the user’s prompt does NOT appear in this research’s public sources

RULED OUT (or unverified): “Rosenwald Court, Washington DC” as distinct historic Black housing complex — not located in the public-record sources surveyed in this research. The closest analogue is the Michigan Boulevard Garden Apartments in Chicago (Section 1.9). If the user has a specific source for a DC “Rosenwald Court” complex, it should be verified independently.

4.2 Historic Rosenwald School preservation entities

#	Entity	EIN	Status	Connection
70	National Trust for Historic Preservation (Rosenwald Schools program)	53-0210807	Active 501(c)(3)	Owns the Rosenwald Schools “National Treasure” designation since 2015; coordinates preservation grants
71	African American Cultural Heritage Action Fund (program of National Trust)	(subsidiary of 53-0210807)	Active	\$2.5M+ in Rosenwald School matching grants
72	Julius Rosenwald & Rosenwald Schools National Historical Park Campaign	rosenwaldpark.org	Active advocacy organization	Pushed 2020 study act passed by Congress; ongoing NPS feasibility study
73	State-level Rosenwald School preservation funds (e.g., SC DAH, NC HPO,	various	Active	State historic-preservation offices maintain Rosenwald School databases

#	Entity	EIN	Status	Connection
	Encyclopedia Virginia tracking projects)			

Source: [National Trust — Rosenwald Schools](#); [SC DAH Rosenwald Schools](#); [NC HPO](#); [NPS](#)

4.3 Documentary / film entities

- **Rosenwald (2015 film)** — produced by Aviva Kempner / The Ciesla Foundation; not a Rosenwald-family entity but trades on the name. Source: rosenwaldfilm.org

5. FEDERAL-RECORD CROSS-REFERENCE — CONSOLIDATED MASTER TABLE

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
1	The Julius Rosenwald Fund	[UNVERIFIED — pre-modern EIN]	IL	1917–1948	Dissolved	Wikipedia; Britannica; Duke CSPC
2	Museum of Science and Industry	(verify ProPublica)	IL	1929–present	Active	MSI
3	Tuskegee University (Rosenwald trustee era)	63-0476446	AL	1881–present	Active HBCU	IRS TEOS
4	University of Chicago (Rosenwald trustee era)	36-2177139	IL	1890–present	Active	IRS TEOS
5	Wabash Avenue YMCA + 24 Rosenwald-funded YMCAs	various	various	1911–1933	Various	Pennsylvania HP; U. Minn. archives

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
6	Rosenwald Schools (5,357 schools)	n/a (county systems)	15 Southern states	1912–1932 building program	10–12% surviving	NPS; National Trust
7	Michigan Boulevard Garden Apts. (“Rosenwald Apts.”)	(current owner LLC)	IL	1929 / renovated 2015	Active National Register	Wikipedia
8	American Securities Corporation / LLC	(private DE filing)	DE	1947–present	Active	Wikipedia; LittleSis
9	William Rosenwald Family Fund Inc.	13-1635289	NY	founded 1947(?)–DISSOLVED 03/2012	Dissolved	GuideStar
10	Middle Road Foundation (fka Anchorage Charitable Fund)	(verify ProPublica)	NY	post-2012	Active	InfluenceWatch
11	Abstraction Fund	(verify ProPublica)	NY	post-2012	Active	InfluenceWatch
12	Alice Rosenwald Family Fund	(verify)	NY	post-2012	Active	Islamophobia Network
13	Gatestone Institute	(verify ProPublica)	NY	2008/2011–present	Active 501(c)(3)	Wikipedia
14	United Service for New Americans	n/a (merged)	NY	1946–1954 (→ HIAS)	Merged	Encyclopedia.com
15	United Jewish Appeal (W.	n/a (merged 1999)	NY	1939–1999	Merged into JFNA	JTA

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
	Rosenwald chair era)					
16	The Lessing J. Rosenwald Collection	n/a (gift to NGA + LOC)	DC	1943-present	Active institutional collection	NGA; LOC
17	Alverthorpe Gallery	n/a (closed)	PA	1939–1980	Closed; building now Abington Art Center	AAC
18	American Council for Judaism	(verify ProPublica)	NY	1942-present	Active	self
19	Stern Family Fund (Edith + Edgar)	(verify ProPublica – multiple namesakes)	LA / DC	1930s-present	Active	JWA; Wikipedia
20	Longue Vue House and Gardens	72-0735988 [VERIFY]	LA	1968 (501c3); house 1939–42	Active	Longue Vue site
21	Dillard University (Stern era)	72-0408974	LA	1869/1930-present	Active HBCU	IRS TEOS
22	The Reporter Magazine	n/a (ceased)	NY	1949–1968	Ceased	UChicago Ascoli Papers
23	Citizens Committee for Children of New York	13-1623910 [VERIFY]	NY	1944-present	Active	self
24	Northside Center for Child Development	13-1739891 [VERIFY]	NY	1946-present	Active	self

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
25	The Marion R. Ascoli Fund / Max Ascoli Fund	[UNVERIFIED]	NY	mid-20C-?	Status unclear	UChicago Ascoli Papers
Plaintiff branch — Dalton/ RCM/ Beach Front network (selected from 86-entity map):						
26	Dalton Investments LLC	CRD 109538 / CIK 1388838	NV (CA office)	1999 LLC → 2024 converted	Converted to Inc.	SEC IAPD
27	Dalton Investments, Inc.	CRD 308609	NV	2024 conversion	Active	SEC IAPD
28	Dalton Financial LLC	CRD 167878	TBD	TBD	Active per IAPD	SEC IAPD
29	Rosenwald Capital Management, Inc.	CRD 290118	DE	1984	Active; \$218.5M AUM	SEC IAPD
30	Rosenwald Family Trust	n/a (private)	DE	12/1997	Active	Dalton ADV Schedule B
31	Rosenwald Partners, L.P.	805-4108393611	DE	TBD	Active	RCM ADV
32		805-6473562159	Cayman	TBD	Active; \$76M GAV	RCM ADV

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
	Kings Bay Investment Co. Ltd.					
33	JMBO Fund Ltd.	CIK 1290692	Cayman	2005	Active	SEC EDGAR
34	NAVF Select LLC	CIK 1878477	DE	2021	Active; \$66.9M	SEC EDGAR
35	NAVF Select (Offshore) Ltd.	CIK 2031310	Cayman	2023	Active; \$90.7M	SEC EDGAR
36	Dalton Pacific Rim Fund LP	CIK 1928797	Cayman	2022	Active	SEC EDGAR
37	KAVF Select master + 2 feeders + GP	CIK 2104336 / 2106495 / 2107185 / 2110861	TBD/ Cayman/ DE	2025	Active; \$0 raised	SEC EDGAR
38	Dalton Asia (Master + 2 Feeders)	CIK 2068647 / 1428064 / 1428125	CA/ Cayman	TBD	Active	SEC EDGAR
39	Dalton India (Master + 2 Feeders)	CIK 2068657 / 1614148 / 1614149	CA/ Cayman	TBD	Active	SEC EDGAR
40	Dalton Greater China (Master + 2 Feeders)	CIK 1384438 / 1314143	TBD/CA	TBD	Active	SEC EDGAR
41	Dalton Kizuna (US Feeder + Offshore Feeder)	CIK 1699530 / 1699528	CA/ Cayman	2017	Active	SEC EDGAR
42	Dalton Japan Long/Short (US + Offshore)	CIK 1443191 / 1443190	CA/ Cayman	TBD	Active	SEC EDGAR
43			CA	TBD	Active	SEC EDGAR

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
	Dalton Berlin Real Estate / Finance Fund I LLC	CIK 1345029 / 1415795				
44	Dalton Distressed Debt / Credit / Mortgage funds (multiple)	CIK 1303932 / 1303926 / 1457219 / 1457218 / 1437994 / 1437993	CA/DE	TBD	Active	SEC EDGAR
45	Dalton Emerging Market Debt Fund Ltd.	CIK 1253783	Cayman	TBD	Active	SEC EDGAR
46	Dalton High Yield Opps (US + Offshore Feeders)	CIK 1488632 / 1488633	CA	TBD	Active	SEC EDGAR
47	Dalton Global Opportunity Fund Ltd + US LP	CIK 1165973 / 1351324	Cayman/ CA	TBD	Active	SEC EDGAR
48	Dalton Taiwan Fund LP	CIK 1354330	CA	TBD	Active	SEC EDGAR
49	Dalton Coinvestment, L.P.	CIK 2004332	TBD	TBD	Active	SEC EDGAR
50	Dalton Strategic Partnership LLP	CIK 1351451	UK	TBD	Active	SEC EDGAR
51	Dalton Investments (UK) Limited	Companies House 07542067	England	TBD	Dissolved 2011-03-29	Companies House

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
52	Dalton Investments (Australia) Pty Ltd	(Australia)	Australia	TBD	Active	Dalton ADV
53	Dalton Investments (Hong Kong) Limited	(HK)	Hong Kong	TBD	Active	Dalton ADV
54	Dalton Korea Co., Ltd.	(Korea)	South Korea	TBD	Active	Dalton ADV
55	Dalton Advisory KK	(Japan FSA)	Japan	TBD	Active	Dalton ADV
56	Dalton Investment Advisory Services Pvt. Ltd.	(India SEBI)	India	TBD	Active	Dalton ADV
57	Rising Sun Management Ltd.	(Cayman)	Cayman	TBD	Active	NAVF site; Dalton ADV
58	Pacific & General Investments Inc. + LP + Master LP	CIK 1503162 / 1314612 / 2069327	TBD	TBD	Active	SEC EDGAR
59	Dalton Investments Trust – Series B, C (incl. C-2), J, K (incl. K-2)	CIK 1756601 / 2039421 / 2039786 / 2039787	CA/NV	TBD	Active	SEC EDGAR
60	Series B / Series C SBO LLC	n/a	TBD	TBD	Active	Dalton ADV

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
61	NAVF Select Special Member LLC	n/a	TBD	TBD	Active	Dalton ADV
62	CrossingBridge Advisors, LLC	(separate SEC-RIA)	TBD	TBD	Active	Dalton Inc. ADV Schedule 7.A
63	Kizuna GP LLC / China GP LLC / India GP LLC / Pacgen GP LLC / Asia GP LLC / Pacrim GP LLC / 1937 GP LLC	n/a	TBD/NV	TBD	Active	Dalton ADV
64	1937 LP	n/a	TBD	TBD	Active	Dalton ADV
65	Walking Rock Corp.	(private DE)	DE	10/2002	Active	Dalton LLC ADV Sched B
66	Walking Rock Nevada, Inc.	(private DE)	DE	07/2024	Active	Dalton Inc. ADV Sched B
67	Persky Financial Management, Inc.	(private DE)	DE	02/1998	Active	Dalton LLC ADV Sched B
68	Iradnafla LLC	(private DE)	DE	05/2020 (entered Dalton chain 07/2024)	Active	Dalton Inc. ADV Sched B
69	Beach Front Properties, LLC	(CA SOS)	CA	1997	Active	Wikipedia; CA SOS
70	Beach Front Property	(CA SOS)	CA	1999	Active	bfpminc.com

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
	Management, Inc.					
71	Beach Front VII LLC	CIK 1252794	CA	TBD	Active	SEC EDGAR
72	Beach Front VIII LLC	CIK 1252796	CA	TBD	Active	SEC EDGAR
73	Rosenwald Holdings LLC	OC 201927710108	CA	10/2019–09/2020	CANCELLED 09/19/2020	OpenCorporates
74	Grand River Properties China Ltd.	(foreign)	Cayman?	2003	Active	Prabook
75	Rovida Holdings Ltd.	CIK 1446960	Foreign	TBD	Active	SEC EDGAR
76	Rovida Advisors Inc.	CIK 1653857	FL	TBD	Active	SEC EDGAR
77	Rovida Investment Management Ltd.	CIK 2053064	Foreign	TBD	Active	SEC EDGAR
78	Rosenwald, Roditi & Company, Ltd.	n/a (legacy)	TBD	1992--~1997	Renamed → Rovida	Hedge Fund Journal
79	Korea Non-Life Investment Limited	n/a	South Korea	TBD	Active per Prabook	Prabook
80	John A. Neu Insurance Services, Inc.	CA parent 1988; FL F12000000537 REVOKED 09/28/2018	CA / NV / NY / FL	1988	CA TBD; FL REVOKED	bisprofiles.com
81		(CA SOS)	CA	TBD	Active	arroyoins.com

#	Entity (legal name)	EIN / CRD / CIK	State	Year founded / dissolved	Status	Source
	Arroyo Insurance Services, Inc.					
82	Glass House Brands Inc. (GLAS/GLASF)	Cboe Canada/OTCQX	British Columbia	2021 (de-SPAC merger w/Mercer Park)	Active publicly traded	Glass House site; SEDI
83	National Trust for Historic Preservation (Rosenwald Schools program)	53-0210807	DC	1949-present	Active 501(c)(3)	IRS TEOS
84	African American Cultural Heritage Action Fund	(subsidiary of 53-0210807)	DC	program of NT	Active	self
85	Julius Rosenwald & Rosenwald Schools National Historical Park Campaign	(verify ProPublica)	DC	recent (NPS feasibility study)	Active	rosenwaldpark.org
86	Weinman Foundation (Honolulu) — NOT Rosenwald-affiliated (Section 6 RULED OUT)	94-3399164	HI	2001-present	Active 501(c)(3)	ProPublica

6. NEGATIVE-FINDING COMPLETENESS — RULED OUT

These entities share the Rosenwald surname, or were rumored / asserted as Rosenwald-affiliated, but on the public record they are NOT Rosenwald-family entities or have no demonstrable Rosenwald-family connection.

6.1 RULED OUT — Surname collisions (not the litigation family)

Entity	Why ruled out	Source
James Benno Rosenwald (1905–1989) [the historical figure] — RULED OUT as principal of present litigation	He is the great-grandfather of the present plaintiff JBR IV; deceased 1989	WikiTree Rosenwald-28
Robert Rosenwald [search term from user prompt — “Sears family branch”]	No Robert Rosenwald in Julius’s documented direct lineage; possibly conflation with collateral descendant — not verified in this research	n/a
Armand Hammer Rosenwald connections [user prompt]	Armand Hammer is unrelated by blood; the request for “Armand Hammer Rosenwald connections” returned no verifiable cross-tie in this research	n/a
All “Stern Family Fund/ Foundation” namesakes EXCEPT the original Edith & Edgar Stern Fund	ProPublica returns 10+ unrelated Stern Family Foundations (Howard S Stern, Jerome L Stern, Alex Stern, etc. — none Rosenwald-affiliated)	ProPublica
Rosenberg Family Foundation Inc. (EIN 58-2166389)	Surname collision; not Rosenwald	ProPublica
	Multiple unrelated Rosenwalds in NYC genealogical records	n/a

Entity	Why ruled out	Source
James Rosenwald the genealogy-only Brooklyn-NY records		

6.2 RULED OUT — Family Weinman Trust

CRITICAL — DOCUMENTED IN PRIOR WORK: Per `/Users/icloudabe/law_firm_associations/weinman_trust_20260521/04_FAMILY_TREE.md` and `/Users/icloudabe/law_firm_associations/weinman_trust_20260521/02_ENTITY_REGISTRIES.md` [HIGH CONFIDENCE]:

“**Family Weinman Trust**” / “**FAMILY WEINMAN TR**” is the family revocable trust of **Barry Mark Weinman** (1939–2024, Brooklyn → Honolulu; co-founder Allegis Capital/AVI/Dragon Bridge) and his wife **Virginia Starko Weinman** (d. 2023). Holds 4471 Kahala Avenue, Honolulu HI 96816-4924 (\$10M, purchased 8/15/2018).

- **No Rosenwald appears as officer, director, trustee, settlor, or named recipient in any Weinman entity filing examined.**
- **The Weinman Foundation, EIN 94-3399164** (Honolulu) is the Weinmans’ private foundation; no Rosenwald connection.
- **All five Rosenwald-Weinman blood/marriage hypotheses (A–E in the family-tree file) were tested and either disproven or remain unverified pending one specific obituary lookup (Walter W. Parker III’s wife’s maiden name).**

Operational implication: Any characterization of “Family Weinman Trust” as “Rosenwald-affiliated” rests not on legal ownership or blood/marriage tie but on **possessory use** (JBR IV using the Kahala property for family vacations under undisclosed arrangement with the Weinman estate). The legal owner is the Weinman family trust, not the Rosenwald family.

6.3 RULED OUT — Weinman surname collisions

Entity	EIN	Why ruled out
Weinman Family Foundation Inc. (Norwich NY)	13-6183070	Unrelated NY family
Isak and Rose Weinman Foundation Inc.	13-6110132	Unrelated NY family

Entity	EIN	Why ruled out
Charles H. Weinman Foundation For Developing Minds	33-0846153	Unrelated CA family
Morris Weinman Memorial Foundation Inc.	45-4681120	Unrelated
Weinmann Home Inspections LLC (Hawaii)	File 207450C5	Double-n; different family (Kailua-Kona)
The Trustee for The Weinman Family Trust (QLD, Australia)	n/a	Australian; unrelated
Philip and Rochelle Weinman Family Trust	n/a	Unrelated

6.4 RULED OUT — “Rosenwald Court” Washington DC

Not confirmed in this research. No public-record DC “Rosenwald Court” historic Black housing complex was located in the surveyed sources. The closest historic Rosenwald-funded housing is the **Michigan Boulevard Garden Apartments** in Chicago (§1.9), sometimes informally called “Rosenwald Apartments” or “Rosenwald Court.” If the user has additional sourcing for a DC Rosenwald Court, it would need to be independently verified.

6.5 RULED OUT — Julius/Sears Rosenwald branch as principal-of-litigation

Most important RULED OUT entry of the entire enumeration: The Julius Rosenwald → William / Lessing / Adele / Edith / Marion Rosenwald descendant branches (Section 2) — including modern Rosenwalds Nina, Alice, Elizabeth (Varet), and their entities (American Securities, Gatestone, Middle Road, Abstraction, Alice Rosenwald Family Fund, Stern Family Fund, Longue Vue, etc.) — are **NOT genealogically related to the plaintiff JBR IV.**

The two Rosenwald lineages originated in different German regions (Bünde, Westphalia for the Sears branch; Bamberg, Bavaria for the JBR / plaintiff branch) and have NO surfaced intermarriage, business overlap, or shared trust/foundation. **This means:** - Donor-influence cross-references that lean on Julius-branch civil-rights philanthropy do NOT apply to the plaintiff branch. - The plaintiff branch’s wealth and influence run through Dalton Investments / RCM / Beach Front / Glass House Brands (cannabis), NOT through Sears/civil-rights philanthropy. - A donor-influence document drawing only the historic Rosenwald-fund-

philanthropy halo would misrepresent the plaintiff's actual entity environment by an order of magnitude.

APPENDIX — OPEN GAPS AND NEXT-STEP RECOMMENDATIONS

Gaps where this enumeration could not get definitive confirmation

1. **The Julius Rosenwald Fund's EIN** — Pre-modern; not located in IRS TEOS; would require a direct National Archives or University of Chicago archival request.
2. **Adele R. Levy Fund** — Asserted in prior summaries of Adele's philanthropic activity but no current 501(c)(3) registration located on ProPublica or GuideStar in this scan. Possibly merged or wound up. Recommend NY Charities Bureau search.
3. **The Marion R. Ascoli Fund / The Max Ascoli Fund / The Ascoli Foundation** — Referenced in University of Chicago Ascoli Papers finding aid but no current ProPublica 990-PF surfaced. Status unclear.
4. **The Stern Family Fund (Edith + Edgar)** — Multiple Stern foundations exist on ProPublica; the original Edith & Edgar Stern civil-rights fund needs to be disambiguated by name (likely "Edgar Stern Family Fund" or "Stern Family Fund" with DC address) and EIN confirmed.
5. **John A. Neu Insurance Services, Inc. (CA parent corporation) current operating status** — California SOS direct lookup at bizfileonline.sos.ca.gov pending; Florida foreign registration F12000000537 was REVOKED 09/28/2018.
6. **Dalton Investments Trust series-level beneficial owners** — Series B, C, J, K, sub-series C-2 and K-2 are listed on SEC EDGAR but the underlying beneficial-owner trustees / beneficiaries are not public.
7. **CrossingBridge Advisors LLC's exact relationship to Dalton** — Listed as related person on Dalton Inc. ADV Schedule 7.A but the contractual basis (sub-adviser, strategic partner, common-control) is not disclosed in the public ADV.
8. **Walter W. Parker III's wife's maiden name** — Open gap from Weinman-Trust prior work; closes Hypothesis A on whether Laura Parker Rosenwald has any Weinman cousin tie. Davidson College full obituary or Star Democrat archive recommended.
9. **JBR IV Trust ("JBR IV TR") full trustee roster and corpus** — Partially identified (Walter W. Parker IV is a known trustee) but not fully enumerated; relevant to dissolution discovery.

10. **Possible additional Beach Front series sub-LLCs (I, II, III, IV, V, VI, IX+) —**
Beach Front VII and VIII confirmed on SEC EDGAR; lower-numbered and higher-numbered series-LLCs may exist as single-asset property-holding vehicles not separately filed.
11. **Federal-election donor cross-reference (FEC) for the plaintiff branch —**
Prior agent reports (existing tasks #5–12) covered judicial donors; a parallel FEC cross-reference of JBR III, JBR IV, Jocelyn, Laura, and Jill Rosenwald + their corporate vehicles would round out the donor-influence component of this document. The Daily Caller 2025-11-21 article characterizing JBR III as “major Democrat donor” indicates substantive FEC footprint to be enumerated.

Recommended follow-up work

- Pull California SOS bizfileonline.sos.ca.gov entity-search confirmations for all CA-formed entities listed in Section 3.3 (Beach Front, all Dalton CA funds, Rosenwald Holdings post-cancellation history, John A. Neu Insurance Services CA parent).
- Pull Delaware Division of Corporations entity-search confirmations for: Rosenwald Family Trust, NAVF Select LLC, KAVF Select US Feeder Fund LP, Walking Rock Corp., Walking Rock Nevada Inc., Persky Financial Management Inc., Iradnafla LLC.
- Pull SEC Form ADV Part 2 Brochures for Dalton Investments Inc. (CRD 308609) and RCM (CRD 290118) for narrative descriptions of related-person business arrangements.
- Pull SEDI Insider Profile JROSENW003 historical filings for full enumeration of Glass House Brands holding entities.
- Pull FEC donor history for JBR III, Laura Parker Rosenwald, Jocelyn Rosenwald, JBR IV, and Rosenwald Capital Management, Inc. PAC contributions (if any).
- Pull CT, NY, FL, MA, IL business-registry hits on “Rosenwald” for any living-Rosenwald entity not surfaced in the SEC/IAPD/EDGAR/SEDI sweep.

END OF ENUMERATION — Total entities catalogued: 86 (plaintiff branch, prior work) + ~25 (Julius/Sears branch, this enumeration) + 5 (Rosenwald Schools preservation entities) + 8 (surname-collision RULED OUT entries) = ~124 entity entries reviewed.

Compiled by: Claude Code research session, 2026-05-23, on behalf of Abraham Rosenwald (pro se). **Primary source files relied upon:** - /Users/icloudabe/aria/research/rosenwald_entity_map_20260514.md (86-entity plaintiff-branch map) - /Users/icloudabe/law_firm_associations/weinman_trust_20260521/04_FAMILY_TREE.md (cross-branch

genealogical verification) - /Users/icloudabe/law_firm_associations/
weinman_trust_20260521/02_ENTITY_REGISTRIES.md (Weinman ruled-out documentation) -
/Users/icloudabe/law_firm_associations/AR-CMP-20260514-
sboig_SBA_OIG_Hotline_Complaint_Dalton_Rosenwald.md (PPP entity-network
enumeration)